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## BNK SECURITIES PVT LTD

Regd. Office : 2, Palm Avenue, Kolkata – 700 019, Phone : 2281 0560/0561/0562

DEPOSITORY PARTICIPANT	CENTRAL DEPOSITORY SERVICES LTD. (CDSL)
DP ID	12060500
SEBI Reg. No.	IN-DP-CDSL-508-2009
CIN NO	U67120WB1995PTC071182

### KYC & DP ACCOUNT OPENING APPLICATION FORM (INDIVIDUALS)

Name of the Constituent / BO :

Constituent / BO ID : **120605000000**

#### FOR OFFICE USE ONLY:

1. APPLICATION RECEIVING DATE :
2. INTERNAL REF NO :
3. POA : Y/N
4. VERIFICATION OF DOCUMENTS : Verified with original/self-attested
5. IN PERSON VERIFIED BY :
6. KYC VERIFIED BY :
7. APPLICATION CAPTURED BY :
8. ACCOUNT OPENED ON :
9. ACCOUNT RELEASED BY :
10. BRANCH / SUB-BROKER :
11. REMARKS :

## INSTRUCTION FOR THE APPLICANTS / BOS FOR ACCOUNT OPENING

1. Signature can be in English or Hindi or any of the other languages contained in the 8<sup>th</sup> schedule of the Constitution of the India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
2. Signatures should be preferably in black ink.
3. Details of the Names, Address and Tel Number(s) etc. of the Magistrate / Notary Public / Special Executive Magistrate are to be provided in case of attestation done by them.
4. In case of applications signatures (for account other than individuals). Separate annexure should be attached to the application form.
5. In case of application under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarized copy there of, must be lodged along with the application.
6. All correspondence / queries shall be addressed to the first / sole applicant.
7. All columns must be filled. Strike off or write N.A where not applicable. Fields marked with \*are compulsory.
8. Where the holder is a minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
9. Please sign on all the pages of agreement at the portion marked.
10. The sub-status : Individual Director or individual Director's Relative. Will apply only to specific companies in which the BO is a Director / Director's Relative in such case BO's are advised to maintain separate accounts for each sub-status.
11. As per SEBI Circular No. MRD/DOP/Dep/Cir-29/2004 dated August 24,2004 a copy of any one of the following documents may be accepted as proof of identity / proof of address (local/correspondence/foreign address as the case may be):  
**Proof of Identity** : Passport, Voter ID card, Driving license, PAN card with photograph, MAPIN card, Identity card/ document with applicant's Photo, issued by a) Central/State Government and its Departments, b) Statutory/ Regulatory Authorities, c) Public Sector Undertakings. d) Scheduled Commercial Banks, e) Public Financial Institutions. f) Colleges affiliated to Universities, g) Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members and h) Credit cards/ Debit cards (with photograph) issued by Banks.  
**Proof of Address** : Ration card, Passport, Voter ID card, Driving license, Bank passbook, verified copies of Electricity bills (not more than two months old) / Residence Telephone bills (not more than two months old)/ leave and License agreement / Agreement for sale, Self-declaration by high court & Supreme Court judges, giving the new address in respect of their own accounts, Identity card/ document with address, issued by a) Central/State Government and its Departments, b) Statutory/ Regulatory Authorities, c) Public Sector Undertakings, d) Scheduled Commercial Banks, e) Public Financial Institutions, f) Colleges affiliated to universities; and g) Professional Bodies such as ICAI, ICWAI, ICSI Bar Council etc. to their Members.  
Date of Birth Certificate (in case of Minors), Proof of NRI Status, Copy of RBI Approval for NRIs. All documents must be self attested and originals produced for verification.
12. Instruction related to nomination, are as below:
  - I. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of Power of attorney cannot nominate, if the account is held jointly all joint holders will sign the nomination form.
  - II. A minor can be nominated in that event the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
  - III. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A Non-Resident Indian can be a Nominee, subject to the exchange controls in force from time to time.
  - IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account Similarly the nomination in respect of the securities shall stand terminated upon transfer of the securities.
  - V. Transfer of securities in favour of a Nominee shall be valid discharge by the depository against the legal heir
  - VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination, Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, Holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
  - VII. On cancellation of the nomination. The nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.
13. Any correction / Modification / erasure anywhere in the application form require authentication of all the holders signature must be uniform all over the form.
14. Necessarily provide your mobile no. / contact no. / E-mail Id to keep you updated regarding any important event
15. Provide two witness each in case of nomination and power of attorney and one witness for DP-client agreement respectively to avoid any future inconvenience. Please provide photograph of Nominee and Guardian in case nominee is a minor.
16. Do not mention the titles such as Mr/ Mrs/ Ms for individual and suffix words. Such as "& sons" or "HUF" in case of HUF account.

**BNK SECURITIES PVT LTD**  
**Regd. Office : 2, Palm Avenue, Kolkata – 700 019,**  
**Phone : 2281 0560/0561/0562**

Application No.										Date	D	D	M	M	Y	Y	Y	Y	
DP Internal Reference No.																			
DP ID										Client ID									

I/We request you to open a demat account in my/ our name as per following details:-

[illegible]

<b>Name *</b>	
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\*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)	
Status	Sub – Status
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA) <input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify) _____

Guardian's Name		PAN	
Relationship with the applicant			
I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')		[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No	
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')		<input type="checkbox"/> Yes <input type="checkbox"/> No	
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly		
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID			<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to share the email ID with the RTA			<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report <input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in Physical)			

<p><b>I/ We</b> wish to receive dividend / interest directly in to <b>my</b> bank account as given below through ECS (If not marked, the default option would be 'Yes')</p> <p>[ECS is mandatory for locations notified by SEBI from time to time ]</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No
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[illegible]

Account type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify) _____									
Bank Name										
Branch Name										
Bank Branch Address										
City		State		Country	PIN code					

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)  
(ii) Photocopy of the Bank Statement having name and address of the BO  
(iii) Photocopy of the Passbook having name and address of the BO, (or)  
(iv) Letter from the Bank.  
➤ In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

<b>Other Details</b> Gross Annual Income Details	<b>Income Range per annum:</b> <input type="checkbox"/> Up to Rs.1,00,000 <input type="checkbox"/> Rs 1,00,000 to Rs 5,00,000 <input type="checkbox"/> Rs 5,00,000 to ` 10,00,000 <input type="checkbox"/> Rs 10,00,000 to Rs 25,00,000 <input type="checkbox"/> More than Rs 25,00,000									
	Net worth as on (Date)	D	D	M	M	Y	Y	Y	Y	Rs
	<i>[Net worth should not be older than 1 year]</i>									
Occupation	<input type="checkbox"/> Private / Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify) _____									
Please tick , if applicable:	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP)									
Any other information:										

<b>SMS Alert Facility</b> Refer to Terms & Conditions given as <b>Annexure - 2.4</b>	MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney ( POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).	
	To register for <b>Easi</b> , please visit our website <a href="http://www.cdslindia.com">www.cdslindia.com</a> . <b>Easi</b> allows a BO to view his ISIN balances, transactions and value of the portfolio online.	

## Nomination Form

(Annexure A to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for eligible Trading and Demat Accounts)

60500 (CDSL - DP) <b>BNK SECURITIES Pvt. Ltd.</b> Mayfair Tower, 2 Palm Avenue Kolkata 700 019										<b>FORM FOR NOMINATION</b> <i>(To be filled in by individual applying singly or jointly)</i>																											
Date										UCC/ DP ID												Client ID															
I/We wish to make a nomination. [As per Details given below ]																																					
<b>Nomination Details</b>																																					
I/ We wish to make a nomination and do hereby nominate the following person(s) Who shall receive all the assets held in my/ our account in the event of my/ our death.																																					
<b>Nomination can be made upto three nominees in the account.</b>										Details of 1 <sup>st</sup> Nominee										Details of 2 <sup>nd</sup> Nominee										Details of 3 <sup>rd</sup> Nominee							
1		Name of the nominee(s) (Mr./Ms.)																																			
2		Share of each Nominee				Equally <small>[If not equally, please specify percentage]</small>				%										%										%							
		Any odd lot after division shall be transferred to the first nominee mentioned in the form.																																			
3		Relationship with the Applicant (if Any)																																			
4		Address of Nominee(s)  City / Place: State Country:																																			
						PIN Code																															
5		Mobile / Telephone No. of nominee(s)																																			
6		Email ID of nominee(s)																																			
7		Nominee Identification details — [Please tick any one of following and provide details of same]  <div style="margin-top: 10px;"> <input type="checkbox"/> Photograph &amp; Signature  <input type="checkbox"/> PAN  <input type="checkbox"/> Aadhaar  <input type="checkbox"/> Saving Bank account no.  <input type="checkbox"/> Proof of Identity  <input type="checkbox"/> Demat Account ID       </div>																																			

Sr. Nos. 8–14 should be filled only if nominee(s) is a minor:									
8	Dale of Birth {in case of minor nominee(s)}								
9	Name of Guardian (Mr./Ms.) {in case of minor nominee(s) }								
10	Address of Guardian(s)								
	City / Place: State Country.								
		PIN Code							
11	Mobile/Telephone no. of Guardian								
12	Email ID of Guardian								
13	Relationship of Guardian with nominee								
14	Guardian Identification details — [Please tick and one of following and provide details of same]  <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID								
			<b>Name(s) of holder(s)</b>				<b>Signature(s) of holder•</b>		
Sole / First Holder (Mr. / Ms.)									
Second Holder (Mr. / Ms.)									
Third Holder (Mr. / Ms.)									

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression. instead of signature

**Note:**

This nomination shall supersede any prior nomination made by the account holder(s). if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

**Declaration Form for opting out of nomination**

**[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]**

To <b>BNK SECURITIES Pvt. Ltd.</b> Mayfair Tower, 2 Palm Avenue Kolkata 700 019 60500 (CDSL - DP)	Date	D	D	M	M	Y	Y	Y	Y
DP ID									
Client ID (only for Demat account)									
Sole/First Holder Name									
Second Holder Name									
Third Holder Name									
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.									
<b>Name and Signature of Holder(s)*</b>									
1. _____ 2. _____ 3. _____									

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

## APPLICATION FORM FOR OPENING A DEMAT ACCOUNT

☐ Individual

☐ NRI

☐ Foreign National

(to be filled by the Depository Participant)

Application No										Date											
DP Internal Reference No.																					
DP ID	1	2	0	6	0	5	0	0	Client ID												
Please fill all the details in <b>BLOCK LETTERS</b> in English after reading instructions given below																					
I/We request you to open a Demat Account in my/our name as per the following details																					
Type of Account [Please tick ( ) whichever is applicable]																					
<b>Status</b>		<b>Sub - Status</b>																			
<input type="checkbox"/> Individual		<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual HUF/ AOP <input type="checkbox"/> Individual-Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA) <input type="checkbox"/> Minor <input type="checkbox"/> Others (specify)																			
<input type="checkbox"/> NRI		<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> NRI-Depository Receipts <input type="checkbox"/> Others (specify)																			
<input type="checkbox"/> Foreign National		<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National-Depository Receipts <input type="checkbox"/> Others (specify)																			
<b>Sole / First Holder's Details</b>																					
First Name										(Please sign across the photograph)											
Middle Name																					
Last Name																					
Father's/Husband's Name																					
Title <input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other <input type="checkbox"/> Suffix																					
Correspondence Address																					
										City											
State										Country											
Pin										Telephone											
Fax										E-mail ID											
Permanent Address (if different)																					
										City											
State										Country											
PIN										Telephone											
Fax										E-mail ID											
Mobile										PAN											
Date of Birth										D	D	M	M	Y	Y	Y	Y	Sex		<input type="checkbox"/> Male	<input type="checkbox"/> Female
Nationality										<input type="checkbox"/> Indian <input type="checkbox"/> Others (Specify)											
Occupation										<input type="checkbox"/> Private / public sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> student <input type="checkbox"/> Others (specify)_____											
Nature of Business:																					
(Products/Services provided)																					
Financial Details:										Income Range per annum:											
										<input type="checkbox"/> Up to Rs. 1,00,000 <input type="checkbox"/> Rs. 1,00,000 to Rs. 5,00,000 <input type="checkbox"/> Rs. 5,00,000 to Rs.10,00,000 <input type="checkbox"/> Rs.10,00,000 to Rs. 25,00,000 <input type="checkbox"/> More than Rs. 25,00,000											



Other Holders – Second Joint Holder's Details																														
First Name																													(Please sign across The photograph)	
Middle Name																														
Last Name																														
Father's/Husband's Name																														
Title		<input type="checkbox"/> Mr	<input type="checkbox"/> Mrs	<input type="checkbox"/> Ms	<input type="checkbox"/> Other					<input type="checkbox"/> Suffix																				
Permanent Address																														
State																														
PIN																														
Fax																														
Mobile																														
Date of Birth		D	D	M	M	Y	Y	Y	Y	Sex		<input type="checkbox"/> Male		<input type="checkbox"/> Female																
Nationality												<input type="checkbox"/> Indian		<input type="checkbox"/> Others (Specify)																
Occupation												<input type="checkbox"/> Private / public sector	<input type="checkbox"/> Govt. Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculture														
												<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Others (specify)															
Nature of Business: (Products/services provided)																														
Financial Details:															Income Range per annum: <input type="checkbox"/> Up to Rs. 1,00,000 <input type="checkbox"/> Rs. 1,00,000 to Rs. 5,00,000 <input type="checkbox"/> Rs. 5,00,000 to Rs.10,00,000 <input type="checkbox"/> Rs.10,00,000 to Rs. 25,00,000 <input type="checkbox"/> More than Rs. 25,00,000															

Other Holders : Third Joint Holder's Details																														
First Name																													(Please sign across The photograph)	
Middle Name																														
Last Name																														
Father's/Husband's Name																														
Title		<input type="checkbox"/> Mr	<input type="checkbox"/> Mrs	<input type="checkbox"/> Ms	<input type="checkbox"/> Other					<input type="checkbox"/> Suffix																				
Permanent Address																														
State																														
PIN																														
Fax																														
Mobile																														
Date of Birth		D	D	M	M	Y	Y	Y	Y	D	D	Sex		<input type="checkbox"/> Male		<input type="checkbox"/> Female														
Nationality												<input type="checkbox"/> Indian		<input type="checkbox"/> Others (Specify)																
Occupation												<input type="checkbox"/> Private / public sector	<input type="checkbox"/> Govt. Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculture														
												<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> student	<input type="checkbox"/> Others (specify)															
Nature of Business: (Products/services provided)																														
Financial Details:															Income Range per annum: <input type="checkbox"/> Up to Rs. 1,00,000 <input type="checkbox"/> Rs. 1,00,000 to Rs. 5,00,000 <input type="checkbox"/> Rs. 5,00,000 to Rs.10,00,000 <input type="checkbox"/> Rs.10,00,000 to Rs. 25,00,000 <input type="checkbox"/> More than Rs. 25,00,000															

(In case of minor holder, photograph of guardian has to affixed along with minor's photograph)

<b>Guardian Details</b> (if First Holder of Second Holder or Third Holder is a Minor)																															
Guardian First Name														(Please sign across The photograph)																	
Middle Name																															
Last /Search Name																															
Relationship with the applicant																															
Sex <input type="checkbox"/> Male <input type="checkbox"/> Female Nationality <input type="checkbox"/> Indian <input type="checkbox"/> Others (specify )																															
Correspondence Address																															
City														State																	
Country														PIN																	
Telephone														Fax																	
E-mail																															
Mobile														PAN / GIR No.																	
<b>For NRI / Foreign National</b> (only if the First/ Sole Holder is an NRI /Foreign National)																															
Foreign Address																															
City																															
State																															
Country																															
PIN Code																															
Telephone																															
Fax No																															
Currency																															
RBI Regn./Ref. No.														RBI Approval Date																	
Do you wish to receive dividend / interest directly in to your bank account through ESC?																										<input type="checkbox"/> Yes		<input type="checkbox"/> No			
<b>Bank Details [ Dividend / Interest Bank Details ]</b>																															
Bank Code (9 digit MICR Code)																															
IFS Code (11 Character)																															
Bank Name																															
Branch																															
Bank Address																															
City																															
State																															
Country																															
PIN																															
Account Number																															
Account Type <input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify)																															
<b>Additional Information</b>																															
Name *																															
<p>* In case of Firms Association of Persons(AOP),Partnership Firm, Unregistered trust, etc. although the account is opened in the natural persons, the name of the firm, Association of Persons(AOP),Partnership Firm Unregistered Trust, etc., should be mentioned above.</p>																															
I/We authorize you to receive credits in my/our account without any instruction from me/us																										[Automatic Credit]		<input type="checkbox"/> Yes		<input type="checkbox"/> No	
Account Statement Requirement <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly																															

SMS alert facility	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Mobile No.	+	9	1									
Refer to Terms and Conditions provided herewith															
Easi / Easiest	<input type="checkbox"/> Yes	<input type="checkbox"/> No	If yes, Please contact DP for Details. Facility through CDSL's Website <a href="http://www.cdslindia.com">www.cdslindia.com</a> where in BO can view his ISIN balances, transactions and value of portfolio online (easi), /can transact online (easiest)												

I/We have read the terms & conditions DP-BO agreement and agree to abide by and be bound by the same and by the Bye-Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my / our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any charge(s) in the details / Particulars mentioned by / us in this form. I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

I/We enclose here with a cheque / DD No. \_\_\_\_\_ for Rs. \_\_\_\_\_ drawn on \_\_\_\_\_  
Dated \_\_\_\_\_ in favour of BNK Securities Pvt. Ltd. Being the documentation charges & Annual maintenance charges for the first year and advance deposit (if applicable).

\_\_\_\_\_  
1<sup>st</sup> / Sole Holder

\_\_\_\_\_  
2<sup>nd</sup> Joint Holder

\_\_\_\_\_  
3<sup>rd</sup> Joint Holder

	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Specimen Signature			

Introduction															
By an existing demat account holder :															
Introduction by an existing account holder of <b>BNK Securities Pvt. Ltd.</b>															
I Confirm the identity, occupation and address of the applicant(s)															
Introducer's Name															
BO ID (DP ID)	1	2	0	6	0	5	0	0	Client ID						
<div style="text-align: center;"> _____  (Signature of the Introducer) </div>															
<div style="display: flex; justify-content: space-between;"> <div>Verified :</div> <div>Signature of the DP official</div> </div>															

**By a Bank-Official :**

I Confirm the identity, occupation and address of the applicant(s)	
Name / Designation	
Bank Name / Branch	
Signature under Stamp of the Bank	<div style="border: 1px solid black; padding: 5px; text-align: center;"> <b>Employees Code</b> </div>

## **AUTHORITY LETTER IN CASE OF A HUF**

**BNK Securities Pvt. Ltd.**

Regd. Office : 2, Palm Avenue , Kolkata 700 019

Dear Sir,

We the present adult co-parceners

of \_\_\_\_\_ a Hindu Undivided Family

having its office at \_\_\_\_\_

\_\_\_\_\_ (hereinafter referred to as "the HUF") have or desire

To have Beneficiary A/C with

BNK Securities Pvt. Ltd. We the undersigned, hereby declare.

- a) That we are the present adult Co-percenter's of the HUF
- b) That we are entailed to deal in Securities held by the HUF
- c) That we have full and unrestricted authority to act on behalf or, bind the Trust and all the present as well as future members, both adult and minor, howsoever constituted from time to time.

We hereby authorize \_\_\_\_\_ (name of the Karta ) to open a Beneficiary Account on behalf of the HUF with the Depository Participant M/s BNK Securities Pvt. Ltd. For dematerialisation / rematerialisation of securities held in the name of the HUF. He is further authorized to sign, execute and submit such applications, undertaking and other requisite documents, writings and deeds as may be deemed necessary to expedient to open account and give effect to this purpose. The said depository participant is hereby authorised to honor all instructions oral and written, given on behalf of HUF by him. However any member of the HUF can issue cheques in favour of BNK Securities Pvt. Ltd. Even though their signatures may not be available on the records of M/s BNK Securities Pvt. Ltd., solely / exclusively for the account of the HUF maintained with them.

### **Name and Signature of the karta and all the major Coparceners of the HUF**

	<b>Name</b>	<b>Relationship</b>	<b>Date of Birth</b>	<b>Sex</b>	<b>Signature</b>
1	_____	_____	_____	_____	_____
2	_____	_____	_____	_____	_____
3	_____	_____	_____	_____	_____
4	_____	_____	_____	_____	_____
5	_____	_____	_____	_____	_____
6	_____	_____	_____	_____	_____

## SCHEDULE OF CHARGES

CHARGES W.E.F. 1<sup>ST</sup> April 2009

Charges Head	POA (Market sell to BNK Pool only)	Non-POA
Account Opening	Nil	Nil
Annual Maintenance Charges INDIVIDUAL NON INDIVIDUAL	Rs. 500/- Rs. 1000/-	Rs. 500/- Rs. 1000/-
<b>Transaction Charges</b>		
-Buy	Nil	Nil
-Sell Market / Off Market	Rs.8/- per ISIN Within BNK Rs. 15/- per ISIN Outside BNK	Rs. 15/- per ISIN
Dematerialization	Rs. 2/- per certificates Minimum Rs.50/-, plus courier charges	Rs. 2/- per certificates Minimum Rs.50/-, plus courier charges
Demat Rejection (Due to Client Fault)	@ Rs. 25/- Plus courier charges	@ Rs. 25/- Plus courier charges
Rematerialization	Rs. 20/- per certificates Minimum Rs.50/-, plus courier charges	Rs. 20/- per certificates Minimum Rs.20/-, plus courier charges
Pledge Service (Creation/Closure/Invocation)	0.025% of value of shares Rs.50/- min.	0.025% of value of shares Rs.50/- min.
Margin Pledge, Release of Margin Pledge, Invocation of Margin Pledge, Invocation of Margin Re-pledge	Rs.10 /-	Rs.10 /-
Margin Re-Pledge and Release of Margin Re-pledge	Rs. 05 /-	Rs. 05 /-
Fax Indemnity	Nil	Rs.20/-
Cheques Return Charges	Rs. 200/-	Rs. 200/-
Delivery Instruction Book	Rs. 10/- per book (after first book)	Rs. 10/- per book (after first book)
Refundable Deposit	Nil	Nil
Failed Instruction Charges	Rs. 20/- per ISIN	Rs. 20/- per ISIN

Note:

- Any Services not quoted above will be charged separately.
- Govt. levels including service tax, statutory charges etc. will be extra, as applicable.
- The above mention schedule of charges supersedes all previous schedules and / or communication and is subject to revision at our sole discretion.
- Only annual maintenance charges, documentation charges and Advance deposits are collected all the time of account opening only.
- Billing will be on a Monthly Basis, Bills are payable on presentation. In case of delayed payment a penal interest @ 18% will be charged.
- All market instruction for transfer must be received latest by 4.00 p.m. on the previous working day prior to the pay-in day. As per SEBI guidelines all off market instruction for transfer must be received at least 24 hours before the execution date. Late Instructions would be accepted at the account holders sole risk and responsibility.
- For any Demographical charges Rs. 25/- per instance will be charged.
- Non-payment bill after 30 days from the date of due date the depository services will be temporary withdrawn. The renewal charges for resuming will be 100/- per account.

### Late Instruction Charges Schedule (In Case of Non POA)

Particulars	Charges	Remarks
Same day pay-in will be accepted only at Palm Avenue Office between 9:00 am to 9:45 am	Rs. 25/- per ISIN	Accepted only at Client's risk
Same day execution received at Palm Avenue between 4pm to 5 pm	Rs. 15/- per ISIN	Accepted only at Client's risk

- I/We have accepted the Schedule of charges as stated here in above.
- I/We authorized BNK Securities Pvt. Ltd. To debit my/our account (maintained with you for trading in securities / derivatives ) to recover the charges provided. I/We do not pay directly. (for the clients of BNK Securities Pvt. Ltd. – Broking Division)

1<sup>st</sup> / Sole Holder

2<sup>nd</sup> Joint Holder

3<sup>rd</sup> Joint Holder

## **DECLARATION & AUTHOTISATION**

To  
**BNK Securities Pvt. Ltd.**  
Regd. Office : 2, Palm Avenue, Kolkata – 700 19

SEBI Reg No. IN-DP-CDSL-508-2009  
CDSL DP ID : 12060500

I/We the under signed \_\_\_\_\_

Having BO ID 12060500 And client code \_\_\_\_\_ (BNK's Broking Division)

Do hereby authorized you to retain my share till clearance of my dues (if any) lying in my broking & or depository Account with you.

Signature \_\_\_\_\_ 1<sup>st</sup> / Sole Holder \_\_\_\_\_ Second Joint Holder \_\_\_\_\_ Third Joint Holder \_\_\_\_\_

Date : \_\_\_\_\_ Place : \_\_\_\_\_

### **IN PERSON VERIFICATION**

**BNK Securities Pvt. Ltd.**  
Regd. Office : 2, Palm Avenue, Kolkata – 700 019  
DP ID : 12060500

Branch / Service Center : \_\_\_\_\_

Name of the applicant (s) : \_\_\_\_\_

Name of DP's Representative : \_\_\_\_\_

Signature \_\_\_\_\_ First / Sole Holder \_\_\_\_\_ Second Joint Holder \_\_\_\_\_ Third Joint Holder \_\_\_\_\_

Signature Of DP's Representative : \_\_\_\_\_

Date : \_\_\_\_\_ Place : \_\_\_\_\_

### **DOCUMENTS REQUIRED FOR INDIVIDUAL ACCOUNT OPENING**

- A duly verified copy of PAN card is compulsory for all application.
  - Individual : All holders
  - HUF : HUF as well as the karta of the HUF
  - Minor : Minor as well as for the operating guardian
- Address proof (refer to page no.2, Instruction no.11).
- Photo ID (refer to page no.2, Instruction no.11).
- Bank proof
  - (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
  - (ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or)
  - (iii) Photocopy of the Passbook having name and address of the BO, (or)
  - (iv) Letter from the Bank.

In case of options (ii),(iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.
- Ensure that name appeared on the pan card and name appeared on IT website are exactly same. Ensure that name appeared on address proof and name mention on account opening form are same. As a bank proof, a canceled cheque with self attestation is required. Bank A/c no with complete address and 9 digits MICR numbers are filled correctly.

## **Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories**

### **General Clause**

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars / Notifications / Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

### **Beneficial Owner information**

1. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
2. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

### **Fees/Charges/Tariff**

3. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that *"no charges are payable for opening of demat accounts"*
4. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
5. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

### **Dematerialization**

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

### **Separate Accounts**

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

## **Transfer of Securities**

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

## **Statement of account**

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

## **Manner of Closure of Demat account**

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

## **Default in payment of charges**

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.



## **Liability of the Depository**

21. As per Section 16 of Depositories Act, 1996,
  1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
  2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

## **Freezing/ Defreezing of accounts**

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

## **Redressal of Investor grievance**

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

## **Authorized representative**

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

## **Law and Jurisdiction**

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

## **Terms and Conditions – cum – Registration / Modification From for receiving SMS Alert from CDSL**

[SMS Alerts will be sent by CDSL to BOs for all debits]

### **Definition :**

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. “Depository” means Central Depository Service (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17<sup>th</sup> Floor, P. J. towers, Dalal Street, Fort, Mumbai 400 001 and all its branch offices and includes its successors and assigns.
2. ‘Dp’ means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investor.
3. ‘BO’ means an entity that has opened a demat account with the depository. The terms covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means “Short Messaging Service”
5. “Alerts” means a customized SMS sent to the BO over the said mobile phone number.
6. “Service Provider” means a cellular service provide(s) with whom the depository has entered / will be entering into an agreement for providing the SMS alerts to the BO.
7. “Service” means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

### **Availability :**

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider’s service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it to account SMS alerts sent on such mobile number.

### **Receiving Alerts:**

1. the depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number, within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in “ON” and in a made to receive the SMS. If the mobile phone is in “off” mode i.e. unable to receive the alerts the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The Bo also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledge that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/or the Dp immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name. Email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agree to inform the depository and DP in writing of any unauthorized debit to his BO account /unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an Email to CDSL at [complaints@cdslindia.com](mailto:complaints@cdslindia.com). The BO is advised not to inform the service provider about any such unauthorized debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the Bo should Immediately inform the DP in writing.

**Fees:**

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

**Disclaimer:**

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relating to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The depository gives no warranty with respect to the quality of the service provided by the service provider. The depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/misuse of such information by any third person.

**Liability and Indemnity:**

The depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demand's, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

**Amendments:**

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

**Governing Law and Jurisdiction:**

Providing the service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail SMS alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/We consent to CDSL providing to the service provider such information pertaining to account/ transactions in my/our account as is necessary for the purposes of generation SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/We further undertake to pay fee / charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/are aware that mere acceptance of the registration form does not empty in any way that the request has been accepted by the depository for providing the service.

I/We provided the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable)

BO ID	1	2	0	6	0	5	0	0								
-------	---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

(Please with your 8 digit DP ID)

(Please with your 8 digit Client ID)

Sole / First Holder's Name : \_\_\_\_\_

Second Holder's Name : \_\_\_\_\_

Third Holder's Name : \_\_\_\_\_

Mobile Number on which  
messages are to be sent

+	9	1											
---	---	---	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefix ding country code or zero)

The mobile number is registered in the name of : \_\_\_\_\_

Email ID : \_\_\_\_\_

(Please write only ONE valid email ID on which communication; if any, is to be sent)

Signature

\_\_\_\_\_  
1<sup>st</sup> / Sole Holder

\_\_\_\_\_  
Second Joint Holder

\_\_\_\_\_  
Third Joint Holder

Date : \_\_\_\_\_

Place : \_\_\_\_\_



## **ACKNOWLEDGEMENT**

From,

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

\_\_\_\_\_  
\_\_\_\_\_  
To,

**BNK SECURITIES PRIVATE LIMITED**  
**MAYFAIR TOWER, 2 PALM AVENUE**  
**KOLKATA 700 019**

Dear Sir/Madam,

Ref. : **Acknowledgement for the receipt of documents**

This is to acknowledge the receipt of a copy of the following documents viz.,

- A copy of Client Registration Form (KYC), duly executed
- Rights & obligations
- Policies & Procedures & Guidance Note
- Literature on Anti Money Laundering / Combating Financing of Terrorism
- A Copy of other document executed by us as a Client.

I/We also acknowledge the receipt of your letter advising me/us about

1. Client ID allotted to me
2. Noting of my e-mail ID for Electronic Communication / Mobile No.
3. Client Master
4. Delivery Instruction Slips

Thanking You,

Yours Faithfully,

\_\_\_\_\_  
(SIGNATURE OF 1<sup>ST</sup> HOLDER)

\_\_\_\_\_  
(SIGNATURE OF 2<sup>ND</sup> HOLDER)

\_\_\_\_\_  
(SIGNATURE OF 3<sup>RD</sup> HOLDER)