

Your  
logo here

## Details of ultimate beneficial owner including additional FATCA & CRS information

Name of the entity

Type of address given at KRA

☒ Residential or Business

☒ Residential

☒ Business

☒ Registered Office

"Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes"

Customer ID / Folio Number

PAN

Date of incorporation

D D / M M / Y Y Y Y

City of incorporation

Country of incorporation

Entity Constitution Type

Please tick as appropriate

- ☐ Partnership Firm ☐ HUF ☐ Private Limited Company ☐ Public Limited Company ☐ Society ☐ AOP/BOI  
☐ Trust ☐ Liquidator ☐ Limited Liability Partnership ☐ Artificial Juridical Person ☐ FI ☐ KFI ☐ LFI ☐ FBI-I ☐ MFI-II ☐ NFI-III  
☐ Bank ☐ Government Body ☐ Non-Government Organization ☐ Defense ☐ Society ☐ Others specify

Please tick the applicable tax resident declaration -

1. Is "Entity" a tax resident of any country other than India

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Country

Tax Identification Number<sup>6</sup>

Identification Type  
(TIN or Other, please specify)

\*In case Tax Identification Number is not available, kindly provide its functional equivalent<sup>4</sup>.

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

### FATCA & CRS Declaration

(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

#### PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

1. We are a,

Financial institution<sup>6</sup>

or

Direct reporting NFE<sup>7</sup>

(please tick as appropriate)

GIIN

**Note:** If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below

Name of sponsoring entity

GIIN not available (please tick as applicable) ☒ Applied for

If the entity is a financial institution, ☒ Not required to apply for - please specify 2 digits sub-category<sup>10</sup>

☒ Not obtained - Non-participating FI

#### PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1. Is the Entity a publicly traded company<sup>1</sup> (that is, a company whose shares are regularly traded on an established securities market)

Yes ☒

(If yes, please specify any one stock exchange on which the stock is regularly traded)

Name of stock exchange

2. Is the Entity a related entity<sup>2</sup> of a publicly traded company (a company whose shares are regularly traded on an established securities market)

Yes ☒

(If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)

Name of listed company

Nature of relation:

☒ Subsidiary of the Listed Company or ☐ Controlled by a Listed Company

Name of stock exchange

3. Is the Entity an active<sup>3</sup> NFE

Yes ☒

(If yes, please fill UBO declaration in the next section)

Nature of Business

Please specify the sub-category of Active NFE

(Mention code - refer 2c of Part D)

4. Is the Entity a passive<sup>4</sup> NFE

Yes ☒

(If yes, please fill UBO declaration in the next section)

Nature of Business

<sup>1</sup>Refer 2a of Part D

<sup>2</sup>Refer 2b of Part D

<sup>3</sup>Refer 2c of Part D

<sup>4</sup>Refer 3(ii) of Part D

<sup>6</sup>Refer 1 of Part D

<sup>7</sup>Refer 3(vii) of Part D

<sup>10</sup>Refer 1A of Part D



## UBO Declaration

Category (Please tick applicable category):

☒ Unlisted Company

☒ Partnership Firm

☒ Limited Liability Partnership Company

☒ Unincorporated association / body of individuals

☒ Public Charitable Trust

☒ Religious Trust

☒ Private Trust

☒ Others (please specify \_\_\_\_\_)

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s).

Owner-documented FFI's<sup>5</sup> should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E

Name - Beneficial owner / Controlling person	Tax ID Type - TIN or Other, please specify	Address - Include State, Country, PIN / ZIP Code & Contact Details
Country - Tax Residency*	Beneficial Interest - In percentage	Address Type -
Tax ID No. - Or functional equivalent for each country*	Type Code** - of Controlling person	
1. Name Country Tax ID No.*	Tax ID Type Type Code Address Type <input type="radio"/> Residence <input type="radio"/> Business <input type="radio"/> Registered office	Address ZIP State: Country:
2. Name Country Tax ID No.*	Tax ID Type Type Code Address Type <input type="radio"/> Residence <input type="radio"/> Business <input type="radio"/> Registered office	Address ZIP State: Country:
3. Name Country Tax ID No.*	Tax ID Type Type Code Address Type <input type="radio"/> Residence <input type="radio"/> Business <input type="radio"/> Registered office	Address ZIP State: Country:

# If passive NFE, please provide below additional details.

(Please attach additional sheets if necessary)

PAN / Any other Identification Number (PAN, Aadhar, Passport, Election ID, Govt. ID, Driving Licence/NREGA Job Card, Others)	Occupation Type - Service, Business, Others	DOB - Date of Birth
City of Birth - Country of Birth	Nationality	Gender - Male, Female, Other
	Father's Name - Mandatory if PAN is not available	
1. PAN City of Birth Country of Birth	Occupation Type Nationality Father's Name	DOB DD/MM/YYYY Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female Others <input type="checkbox"/>
2. PAN City of Birth Country of Birth	Occupation Type Nationality Father's Name	DOB DD/MM/YYYY Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female Others <input type="checkbox"/>
3. PAN City of Birth Country of Birth	Occupation Type Nationality Father's Name	DOB DD/MM/YYYY Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female Others <input type="checkbox"/>

# Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India:

\* To include US, where controlling person is a US citizen or green card holder

\*In case Tax Identification Number is not available, kindly provide functional equivalent

\*Refer 3(iii) of Part D | \*Refer 3(vi) of Part D | \*\*Refer 3(iv) (A) of Part D

## FATCA - CRS Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

\*It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

### Certification

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

Name	
Designation	
Signature	Signature
Signature	Signature
Place	Date / /