

### Investor Complaints Data for STOCK BROKER

Data for month ending April 2025

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
01	March 2024	NIL	NIL	NIL	NIL
02	April 2024	NIL	NIL	NIL	NIL
03	May 2024	NIL	NIL	NIL	NIL
04	June 2024	NIL	NIL	NIL	NIL
05	July 2024	NIL	NIL	NIL	NIL
06	August 2024	NIL	NIL	NIL	NIL
07	September 2024	NIL	NIL	NIL	NIL
08	October 2024	NIL	NIL	NIL	NIL
09	November 2024	NIL	NIL	NIL	NIL
10	December 2024	NIL	NIL	NIL	NIL
11	January 2025	NIL	NIL	NIL	NIL
12	February 2025	NIL	NIL	NIL	NIL
13	March 2025	NIL	NIL	NIL	NIL
14	April 2025	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

\*Should include complaints of previous months resolved in the current month, if any.



**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
01	March 2024	NIL	NIL	NIL	NIL
02	April 2024	NIL	NIL	NIL	NIL
03	May 2024	NIL	NIL	NIL	NIL
04	June 2024	NIL`	NIL	NIL	NIL
05	July 2024	NIL	NIL	NIL	NIL
06	August 2024	NIL	NIL	NIL	NIL
07	September 2024	NIL	Nil	NIL	NIL
08	October 2024	NIL	NIL	NIL	NIL
09	November 2024	NIL	Nil	NIL	NIL
10	December 2024	NIL	NIL	NIL	NIL
11	January 2025	NIL	NIL	NIL	NIL
12	February 2025	NIL	NIL	NIL	NIL
13	March 2025	NIL	NIL	NIL	NIL
14	April 2025	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

SN	Year	Carried forward from previous Year	Received during the year	Resolved during the year	Pending during the Year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
6	2024-25 (Till April 2025)	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>

**Complaints Data for Registered Merchant Bankers: Data for month ending April 2025**

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
01	March 2024	NIL	NIL	NIL	NIL
02	April 2024	NIL	NIL	NIL	NIL
03	May 2024	NIL	NIL	NIL	NIL
04	June 2024	NIL	NIL	NIL	NIL
05	July 2024	NIL	NIL	NIL	NIL
06	August 2024	NIL	NIL	NIL	NIL
07	September 2024	NIL	Nil	NIL	NIL
08	October 2024	NIL	NIL	NIL	NIL
09	November 2024	NIL	Nil	NIL	NIL
10	December 2024	NIL	NIL	NIL	NIL
11	January 2025	NIL	NIL	NIL	NIL
12	February 2025	NIL	NIL	NIL	NIL
13	March 2025	NIL	NIL	NIL	NIL
14	April 2025	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL



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01	March 2024	NIL	NIL	NIL	NIL
02	April 2024	NIL	NIL	NIL	NIL
03	May 2024	NIL	NIL	NIL	NIL
04	June 2024	NIL`	NIL	NIL	NIL
05	July 2024	NIL	NIL	NIL	NIL
06	August 2024	NIL	NIL	NIL	NIL
07	September 2024	NIL	NiI	NIL	NIL
08	October 2024	NIL	NIL	NIL	NIL
09	November 2024	NIL	NiI	NIL	NIL
10	December 2024	NIL	NIL	NIL	NIL
11	January 2025	NIL	NIL	NIL	NIL
12	February 2025	NIL	NIL	NIL	NIL
13	March 2025	NIL	NIL	NIL	NIL
14	April 2025	NIL	NiI	NIL	NIL
	<b>Grand Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>

**Trend of annual disposal of complaints**

SN	Year	Carried forward from previous Year	Received during the year	Resolved during the year	Pending during the Year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
6	2024-25 (Till April 2025)	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>