

Investor Complaints Data for STOCK BROKER

Data for month ending May 2024

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
01	April 2022	NIL	NIL	NIL	NIL
02	May 2022	NIL	NIL	NIL	NIL
03	June 2022	NIL	NIL	NIL	NIL
04	July 2022	NIL	NIL	NIL	NIL
05	August 2022	NIL	NIL	NIL	NIL
06	September 2022	NIL	NIL	NIL	NIL
07	October 2022	NIL	NIL	NIL	NIL
08	November 2022	NIL	NIL	NIL	NIL
09	December 2022	NIL	NIL	NIL	NIL
10	January 2023	NIL	NIL	NIL	NIL
11	February 2023	NIL	NIL	NIL	NIL
12	March 2023	NIL	NIL	NIL	NIL
13	April 2023	NIL	NIL	NIL	NIL
14	May 2023	NIL	NIL	NIL	NIL
15	June 2023	NIL	NIL	NIL	NIL
16	July 2023	NIL	NIL	NIL	NIL
17	August 2023	NIL	NIL	NIL	NIL

						than 3 months	than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April -2021	NIL	NIL	NIL	NIL
2	May-2021	NIL	NIL	NIL	NIL
3	June-2021	NIL	NIL	NIL	NIL
4	July-2021	NIL	NIL	NIL	NIL
5	August 2021	NIL	NIL	NIL	NIL
6	September 2021	NIL	NIL	NIL	NIL
7	October-2021	NIL	NIL	NIL	NIL
8	November 2021	NIL	NIL	NIL	NIL
9	December 2021	NIL	NIL	NIL	NIL
10	January 2022	NIL	NIL	NIL	NIL
11	February 2022	NIL	NIL	NIL	NIL
12	March 2022	NIL	NIL	NIL	NIL
13	April 2022	NIL	NIL	NIL	NIL
14	May 2022	NIL	NIL	NIL	NIL
15	June 2022	NIL	NIL	NIL	NIL
16	July 2022	NIL	NIL	NIL	NIL
17	August 2022	NIL	NIL	NIL	NIL
18	September 2022	NIL	NIL	NIL	NIL
19	October 2022	NIL	NIL	NIL	NIL
20	November 2022	NIL	NIL	NIL	NIL
21	December 2022	NIL	NIL	NIL	NIL
22	January 2023	NIL	NIL	NIL	NIL
23	February 2023	NIL	NIL	NIL	NIL
24	March 2023	NIL	NIL	NIL	NIL
25	April 2023	NIL	NIL	NIL	NIL
26	May 2023	NIL	NIL	NIL	NIL
27	June 2023	NIL	NIL	NIL	NIL
28	July 2023	NIL	NIL	NIL	NIL

2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	July 2023	NIL	NIL	NIL	NIL
2	August 2023	NIL	NIL	NIL	NIL
3	September 2023	NIL	NIL	NIL	NIL
4	October 2023	NIL	NIL	NIL	NIL
5	November 2023	NIL	NIL	NIL	NIL
6	December 2023	NIL	NIL	NIL	NIL
7	January 2024	NIL	NIL	NIL	NIL
8	February 2024	NIL	NIL	NIL	NIL
9	April 2024	NIL	NIL	NIL	NIL
10	May 2024	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Trend of annual disposal of complaints

SN	Year	Carried forward from previous Year	Received during the year	Resolved during the year	Pending during the Year
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
6	2022-23	NIL	NIL	NIL	NIL
6	2023-24 (Till May 2024)	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL