

Investor Complaints Data for STOCK BROKER

Data for month ending November 2023

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April -2021	NIL	NIL	NIL	NIL
2	May-2021	NIL	NIL	NIL	NIL
3	June-2021	NIL	NIL	NIL	NIL
4	July-2021	NIL	NIL	NIL	NIL
5	August2021	NIL	NIL	NIL	NIL
6	September 2021	NIL	NIL	NIL	NIL
7	October-2021	NIL	NIL	NIL	NIL
8	November 2021	NIL	NIL	NIL	NIL
9	December 2021	NIL	NIL	NIL	NIL
10	January 2022	NIL	NIL	NIL	NIL
11	February 2022	NIL	NIL	NIL	NIL
12	March 2022	NIL	NIL	NIL	NIL
13	April 2022	NIL	NIL	NIL	NIL
14	May 2022	NIL	NIL	NIL	NIL
15	June 2022	NIL	NIL	NIL	NIL
16	July 2022	NIL	NIL	NIL	NIL
17	August 2022	NIL	NIL	NIL	NIL`

18	September 2022	NIL	NIL	NIL	NIL
19	October 2022	NIL	NIL	NIL	NIL
20	November 2022	NIL	NIL	NIL	NIL
21	December 2022	NIL	NIL	NIL	NIL
22	January 2023	NIL	NIL	NIL	NIL
23	February 2023	NIL	NIL	NIL	NIL
24	March 2023	NIL	NIL	NIL	NIL
25	April 2023	NIL	NIL	NIL	NIL
26	May 2023	NIL	NIL	NIL	NIL
27	June 2023	NIL	NIL	NIL	NIL
28	July 2023	NIL	NIL	NIL	NIL
29	August 2023	NIL	NIL	NIL	NIL
30	September 2023	NIL	NIL	NIL	NIL
31	October 2023	NIL	NIL	NL	NIL
32	November 2023	NIL	NIL	NL	NIL
	Grand Total	NIL	NIL	NIL	NIL

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous Year	Received during the year	Resolved during the year	Pending during the Year
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
6	2022-23	NIL	NIL	NIL	NIL
7	2023-24 (Till November 2023)	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Investor Complaints Data for DEPOSITORY PARTICIPANT

Data for every month ending November 2023

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April -2021	NIL	NIL	NIL	NIL
2	May-2021	NIL	NIL	NIL	NIL
3	June-2021	NIL	NIL	NIL	NIL
4	July-2021	NIL	NIL	NIL	NIL
5	August 2021	NIL	NIL	NIL	NIL
6	September 2021	NIL	NIL	NIL	NIL
7	October-2021	NIL	NIL	NIL	NIL
8	November 2021	NIL	NIL	NIL	NIL
9	December 2021	NIL	NIL	NIL	NIL
10	January 2022	NIL	NIL	NIL	NIL
11	February 2022	NIL	NIL	NIL	NIL

12	March 2022	NIL	NIL	NIL	NIL
13	April 2022	NIL	NIL	NIL	NIL
14	May 2022	NIL	NIL	NIL	NIL
15	June 2022	NIL	NIL	NIL	NIL
16	July 2022	NIL	NIL	NIL	NIL
17	August 2022	NIL	NIL	NIL	NIL
18	September 2022	NIL	NIL	NIL	NIL
19	October 2022	NIL	NIL	NIL	NIL
20	November 2022	NIL	NIL	NIL	NIL
21	December 2022	NIL	NIL	NIL	NIL
22	January 2023	NIL	NIL	NIL	NIL
23	February 2023	NIL	NIL	NIL	NIL
24	March 2023	NIL	NIL	NIL	NIL
25	April 2023	NIL	NIL	NIL	NIL
26	May 2023	NIL	NIL	NIL	NIL
27	June 2023	NIL	NIL	NIL	NIL
28	July 2023	NIL	NIL	NIL	NIL
29	August 2023	NIL	NIL	NIL	NIL
30	September 2023	NIL	NIL	NIL	NIL
31	October 2023	NIL	NIL	NIL	NIL
32	November 2023	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous Year	Received during the year	Resolved during the year	Pending during the Year
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
6	2022-23	NIL	NIL	NIL	NIL
6	2023-24 (Till November 2023)	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Complaints Data for Registered Merchant Bankers: Data for month ending November 2023

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	July 2023	NIL	NIL	NIL	NIL
2	August 2023	NIL	NIL	NIL	NIL
3	September 2023	NIL	NIL	NIL	NIL
4	October 2023	NIL	NIL	NIL	NIL
5	November 2023	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Trend of annual disposal of complaints

SN	Year	Carried forward from previous Year	Received during the year	Resolved during the year	Pending during the Year
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
6	2022-23	NIL	NIL	NIL	NIL
6	2023-24 (Till November 2023)	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL