

Investor Complaints Data for STOCK BROKER

Data for month ending August 2023

| SN | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
|----|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 4 | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | NIL | NIL |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|----------------|-------------------------------------|----------|-----------|-----------|
| 1 | April -2021 | NIL | NIL | NIL | NIL |
| 2 | May-2021 | NIL | NIL | NIL | NIL |
| 3 | June-2021 | NIL | NIL | NIL | NIL |
| 4 | July-2021 | NIL | NIL | NIL | NIL |
| 5 | August2021 | NIL | NIL | NIL | NIL |
| 6 | September 2021 | NIL | NIL | NIL | NIL |
| 7 | October-2021 | NIL | NIL | NIL | NIL |
| 8 | November 2021 | NIL | NIL | NIL | NIL |
| 9 | December 2021 | NIL | NIL | NIL | NIL |
| 10 | January 2022 | NIL | NIL | NIL | NIL |
| 11 | February 2022 | NIL | NIL | NIL | NIL |
| 12 | March 2022 | NIL | NIL | NIL | NIL |
| 13 | April 2022 | NIL | NIL | NIL | NIL |
| 14 | May 2022 | NIL | NIL | NIL | NIL |
| 15 | June 2022 | NIL | NIL | NIL | NIL |
| 16 | July 2022 | NIL | NIL | NIL | NIL |
| 17 | August 2022 | NIL | NIL | NIL | NIL` |

| | | | | | |
|----|--------------------|------------|------------|------------|------------|
| 18 | September 2022 | NIL | NIL | NIL | NIL |
| 19 | October 2022 | NIL | NIL | NIL | NIL |
| 20 | November 2022 | NIL | NIL | NIL | NIL |
| 21 | December 2022 | NIL | NIL | NIL | NIL |
| 22 | January 2023 | NIL | NIL | NIL | NIL |
| 23 | February 2023 | NIL | NIL | NIL | NIL |
| 24 | March 2023 | NIL | NIL | NIL | NIL |
| 25 | April 2023 | NIL | NIL | NIL | NIL |
| 26 | May 2023 | NIL | NIL | NIL | NIL |
| 27 | June 2023 | NIL | NIL | NIL | NIL |
| 28 | July 2023 | NIL | NIL | NIL | NIL |
| 29 | August 2023 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous Year | Received during the year | Resolved during the year | Pending during the Year |
|----|----------------------------|------------------------------------|--------------------------|--------------------------|-------------------------|
| 1 | 2017-18 | NIL | NIL | NIL | NIL |
| 2 | 2018-19 | NIL | NIL | NIL | NIL |
| 3 | 2019-20 | NIL | NIL | NIL | NIL |
| 4 | 2020-21 | NIL | NIL | NIL | NIL |
| 5 | 2021-22 | NIL | NIL | NIL | NIL |
| 6 | 2022-23 | NIL | NIL | NIL | NIL |
| 7 | 2023-24 (Till August 2023) | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Investor Complaints Data for DEPOSITORY PARTICIPANT

Data for every month ending August 2023

| SN | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
|----|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 4 | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | NIL | NIL |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|----------------|-------------------------------------|----------|-----------|-----------|
| 1 | April -2021 | NIL | NIL | NIL | NIL |
| 2 | May-2021 | NIL | NIL | NIL | NIL |
| 3 | June-2021 | NIL | NIL | NIL | NIL |
| 4 | July-2021 | NIL | NIL | NIL | NIL |
| 5 | August 2021 | NIL | NIL | NIL | NIL |
| 6 | September 2021 | NIL | NIL | NIL | NIL |
| 7 | October-2021 | NIL | NIL | NIL | NIL |
| 8 | November 2021 | NIL | NIL | NIL | NIL |
| 9 | December 2021 | NIL | NIL | NIL | NIL |
| 10 | January 2022 | NIL | NIL | NIL | NIL |
| 11 | February 2022 | NIL | NIL | NIL | NIL |
| 12 | March 2022 | NIL | NIL | NIL | NIL |
| 13 | April 2022 | NIL | NIL | NIL | NIL |

| | | | | | |
|----|--------------------|------------|------------|------------|------------|
| 14 | May 2022 | NIL | NIL | NIL | NIL |
| 15 | June 2022 | NIL | NIL | NIL | NIL |
| 16 | July 2022 | NIL | NIL | NIL | NIL |
| 17 | August 2022 | NIL | NIL | NIL | NIL |
| 18 | September 2022 | NIL | NIL | NIL | NIL |
| 19 | October 2022 | NIL | NIL | NIL | NIL |
| 20 | November 2022 | NIL | NIL | NIL | NIL |
| 21 | December 2022 | NIL | NIL | NIL | NIL |
| 22 | January 2023 | NIL | NIL | NIL | NIL |
| 23 | February 2023 | NIL | NIL | NIL | NIL |
| 24 | March 2023 | NIL | NIL | NIL | NIL |
| 25 | April 2023 | NIL | NIL | NIL | NIL |
| 26 | May 2023 | NIL | NIL | NIL | NIL |
| 27 | June 2023 | NIL | NIL | NIL | NIL |
| 28 | July 2023 | NIL | NIL | NIL | NIL |
| 29 | August 2023 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous Year | Received during the year | Resolved during the year | Pending during the Year |
|----|----------------------------|------------------------------------|--------------------------|--------------------------|-------------------------|
| 1 | 2017-18 | NIL | NIL | NIL | NIL |
| 2 | 2018-19 | NIL | NIL | NIL | NIL |
| 3 | 2019-20 | NIL | NIL | NIL | NIL |
| 4 | 2020-21 | NIL | NIL | NIL | NIL |
| 5 | 2021-22 | NIL | NIL | NIL | NIL |
| 6 | 2022-23 | NIL | NIL | NIL | NIL |
| 6 | 2023-24 (Till August 2023) | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |