

### Investor Complaints Data for STOCK BROKER

Data for month ending June 2026

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
01	March 2024	NIL	NIL	NIL	NIL
02	April 2024	NIL	NIL	NIL	NIL
03	May 2024	NIL	NIL	NIL	NIL
04	June 2024	NIL	NIL	NIL	NIL
05	July 2024	NIL	NIL	NIL	NIL
06	August 2024	NIL	NIL	NIL	NIL
07	September 2024	NIL	NIL	NIL	NIL
08	October 2024	NIL	NIL	NIL	NIL
09	November 2024	NIL	NIL	NIL	NIL
10	December 2024	NIL	NIL	NIL	NIL
11	January 2025	NIL	NIL	NIL	NIL
12	February 2025	NIL	NIL	NIL	NIL
13	March 2025	NIL	NIL	NIL	NIL
14	April 2025	NIL	NIL	NIL	NIL
15	May 2025	NIL	NIL	NIL	NIL
16	June 2025	NIL	NIL	NIL	NIL
17	July 2025	NIL	NIL	NIL	NIL



1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
01	March 2024	NIL	NIL	NIL	NIL
02	April 2024	NIL	NIL	NIL	NIL
03	May 2024	NIL	NIL	NIL	NIL
04	June 2024	NIL	NIL	NIL	NIL
05	July 2024	NIL	NIL	NIL	NIL
06	August 2024	NIL	NIL	NIL	NIL
07	September 2024	NIL	NIL	NIL	NIL
08	October 2024	NIL	NIL	NIL	NIL
09	November 2024	NIL	NIL	NIL	NIL
10	December 2024	NIL	NIL	NIL	NIL
11	January 2025	NIL	NIL	NIL	NIL
12	February 2025	NIL	NIL	NIL	NIL
13	March 2025	NIL	NIL	NIL	NIL
14	April 2025	NIL	NIL	NIL	NIL
15	May 2025	NIL	NIL	NIL	NIL
16	June 2025	NIL	NIL	NIL	NIL
17	July 2025	NIL	NIL	NIL	NIL
18	August 2025	NIL	NIL	NIL	NIL
19	September 2025	NIL	NIL	NIL	NIL
20	October 2025	NIL	NIL	NIL	NIL
21	November 2025	NIL	NIL	NIL	NIL
22	December 2025	NIL	NIL	NIL	NIL
23	January 2026	NIL	NIL	NIL	NIL
24	February 2026	NIL	NIL	NIL	NIL
25	March 2026	NIL	NIL	NIL	NIL
26	April 2026	NIL	NIL	NIL	NIL
27	May 2026	NIL	NIL	NIL	NIL
28	June 2026	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

SN	Year	Carried forward from previous Year	Received during the year	Resolved during the year	Pending during the Year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
6	2024-25	NIL	NIL	NIL	NIL
7	2025-2026(Till June 2026)	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

**Complaints Data for Registered Merchant Bankers: Data for month ending June 2026**

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL	NIL

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
01	March 2024	NIL	NIL	NIL	NIL
02	April 2024	NIL	NIL	NIL	NIL
03	May 2024	NIL	NIL	NIL	NIL
04	June 2024	NIL`	NIL	NIL	NIL

05	July 2024	NIL	NIL	NIL	NIL
06	August 2024	NIL	NIL	NIL	NIL
07	September 2024	NIL	Nil	NIL	NIL
08	October 2024	NIL	NIL	NIL	NIL
09	November 2024	NIL	Nil	NIL	NIL
10	December 2024	NIL	NIL	NIL	NIL
11	January 2025	NIL	NIL	NIL	NIL
12	February 2025	NIL	NIL	NIL	NIL
13	March 2025	NIL	NIL	NIL	NIL
14	April 2025	NIL	NIL	NIL	NIL
15	May 2025	NIL	NIL	NIL	NIL
16	June 2025	NIL	NIL	NIL	NIL
17	July 2025	NIL	NIL	NIL	NIL
18	August 2025	NIL	NIL	Nil	NIL
19	September 2025	NIL	NIL	NIL	NIL
20	October 2025	NIL	NIL	NIL	NIL
21	November 2025	NIL	NIL	NIL	NIL
22	December 2025	NIL	NIL	NIL	NIL
23	January 2026	NIL	NIL	NIL	NIL
24	February 2026	NIL	NIL	NIL	NIL
25	March 2026	NIL	Nil	NIL	NIL
26	April 2026	NIL	NIL	NIL	NIL
27	May 2026	NIL	NIL	NIL	NIL
28	June 2026	NIL	NIL	NIL	Nil
	Grand Total	NIL	NIL	NIL	NIL

**Trend of annual disposal of complaints**

SN	Year	Carried forward from previous Year	Received during the year	Resolved during the year	Pending during the Year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
6	2024-25	NIL	NIL	NIL	NIL
7	2025-2026 (Till June 2026)	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

**Complaints Data for Registered RESEARCH ANALYST: Data for month ending June 2026**

SN	Received from	Pending at the end of last month	Received during the month	Resolved*	Total Pending	Pending complaints > 3months		Average Resolution time^ (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NA
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NA
3	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NA
	<b>Grand Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NA</b>

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint, in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints**

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04	June 2024	NIL`	NIL	NIL	NIL
05	July 2024	NIL	NIL	NIL	NIL
06	August 2024	NIL	NIL	NIL	NIL
07	September 2024	NIL	NiI	NIL	NIL
08	October 2024	NIL	NIL	NIL	NIL
09	November 2024	NIL	NiI	NIL	NIL
10	December 2024	NIL	NIL	NIL	NIL
11	January 2025	NIL	NIL	NIL	NIL
12	February 2025	NIL	NIL	NIL	NIL
13	March 2025	NIL	NIL	NIL	NIL
14	April 2025	NIL	NiI	NIL	NIL
15	May 2025	NIL	NiI	NIL	NIL
16	June 2025	NIL	NIL	NIL	NIL
17	July 2025	NIL	NIL	NIL	NIL
18	August 2025	NIL	NIL	NIL	NIL

19	September 2025	NIL	NIL	NIL	NIL
20	October 2025	NIL	NIL	NIL	NIL
21	November 2025	NIL	NIL	NIL	NIL
22	December 2025	NIL	NIL	NIL	NIL
23	January 2026	NIL	NIL	NIL	NIL
24	February 2026	NIL	NIL	NIL	NIL
25	March 2026	NIL	NIL	NIL	NIL
26	April 2026	NIL	NIL	NIL	NIL
27	May 2026	NIL	NIL	NIL	NIL
28	June 2026	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

**Trend of annual disposal of complaints**

SN	Year	Carried forward from previous Year	Received during the year	Resolved during the year	Pending during the Year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
6	2024-25	NIL	NIL	NIL	NIL
7	2025-2026 (Till June 2026)	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>

\* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.